

**Report to:** Audit Committee

**Date of meeting:** 28 September 2015

**Report of:** Acting Head of Finance Shared Services

**Title:** Internal Audit Progress Report

**1.0 SUMMARY**

- 1.1 This report gives details of the progress made in implementing the recommendations of the internal auditor.

**2.0 RECOMMENDATIONS**

- 2.1 Note the Internal Audit Progress Report Against the 2015/16 Audit Plan
- 2.2 Approve amendments to the Audit Plan as at September 2015
- 2.3 Agree removal of implemented recommendations (see Appendix 4)
- 2.4 Agree the changes to the implementation date for 12 recommendations (paragraph 2.5.1 of Appendix 1) for the reasons set out in Appendix 4.
- 2.5 Note the status of the 16 IT audit recommendations (paragraph 2.5.2 of Appendix 1)

**Contact Officer:**

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**Report approved by:** Joanne Wagstaffe Director of Finance

### 3.0 DETAILS

- 3.1 The Shared Internal Audit Service (SIAS) latest Progress Report is attached at Appendix 1.
- 3.2 Details of progress against the Internal Audit Plans for 2015/16 are attached at Appendix 2. Appendix 3 shows the proposed start dates of the 2015/16 audit plan.
- 3.3 Appendix 4 provides information on recommendations which remain outstanding from audits carried out in 2010/11, 2011/12, 2012/13, 2013/14, 2014/15 and 2015/16 and detail only those recommendations which were not resolved at the time of the last report together with new audit reports issued since that time. New reports and new comments are shown in bold.
- 3.4 The table below summarises progress in implementation of the recommendations:

Year	Recommendations made.	Implemented	Not yet due	Outstanding & Request made for Extended Time	Percentage implemented %
2010/11	213	212	1	0	99
2011/12	114	111	0	3	97
2012/13	49	47	1	1	96
2013/14	93	84	3	6	90
2014/15	57	39	0	18	70
2015/16	0	0	0	0	0

### 4.0 IMPLICATIONS

#### 4.1 Financial

- 4.1.1 None Specific.

#### 4.2 Legal Issues (Monitoring Officer)

- 4.2.1 None Specific.

#### 4.3 Equalities

- 4.3.1 None Specific.

#### 4.4 Potential Risks

- 4.4.1 There are no risks associated with the decisions members are being asked to make.

### APPENDICES

- Appendix 1 Shared Internal Audit Service Progress Report
- Appendix 2 Progress against the Audit Plan
- Appendix 3 2015/16 Audit Plan - projected start dates
- Appendix 4 Progress on Recommendations